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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/734,228

745 FIFTH AVENUE New York, NY 10151

FROMMER LAWRENCE & HAUG LLP

12/11/2000

Helmut Lucke

450117-02965

**CONFIRMATION NO. 5435** 

FORMALITIES LETTER

\*OC000000005703106

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Date Mailed: 01/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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SECTOR

PATENT 450117-02965

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Helmut LUCKE

Serial No.

09/734,228

Filed

December 11, 2000

For

METHOD FOR RECOGNIZING SPEECH

Art Unit

2641

745 Fifth Avenue

New York, New York 10151

Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on March 21, 2001

Gordon Kessler, Reg. No. 38,511 Name of Applicant, Assignee or

Registered Representative

March 21, 2001

Date of Signature

#### COMMUNICATION

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

\ Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated January 24, 2001 on the above application, a Declaration duly signed by the inventor, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

PATENT 450117-02965

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

Bv:

Gordon Kessler Reg. No. 38,511

Tel. (212) 588-0800

Enclosure

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PATENT 450117-02965

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Helmut LUCKE

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Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or Registered Representative

ou !

March 21, 2001

Date of Signature

#### CLAIM OF PRIORITY

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In support of the claim of priority under 35. U.S.C. § 119 asserted in the Declaration accompanying the above-entitled application, as filed, please find enclosed herewith a certified copy of European Application No. 99 124 759.4, filed in Europe on 13 December 1999 forming the basis for such claim.

PATENT 450117-02965

Acknowledgment of the claim of priority and of the receipt of said certified copy(s) is requested.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

Bv:

Cordon Kessler

Reg. No. 38,511 Tel. (212) 588-0800

Enclosure(s)